

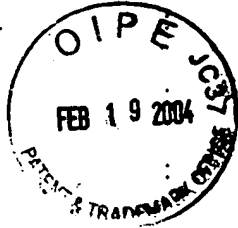
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Yoshitaka TSUNASHIMA et al.

Serial No. 09/803,265

Filed March 9, 2001

For



***SEMICONDUCTOR DEVICE HAVING A GATE INSULATING  
FILM STRUCTURE INCLUDING AN INSULATING FILM  
CONTAINING METAL, SILICON AND OXYGEN AND  
MANUFACTURING METHOD THEREOF***

Examiner : Jennifer M. Dolan

Group Art Unit : 2813

745 Fifth Avenue  
New York, New York 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 17, 2004.

Bruno Polito, Reg. No. 38,580

Name of Applicants, Assignee or Registered Representative

A handwritten signature in black ink, appearing to read "Bruno Polito", written over a horizontal line.

Signature

February 17, 2004

Date of Signature

**AMENDMENT**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is in response to the Office Action mailed on November 19, 2003, which sets a three-month response period. Please amend this application as follows:

41

FEB 23 2004

PATENT  
790001-2002

2813

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yoshitaka TSUNASHIMA et al.

Serial No. : 09/803,265

Filed : March 9, 2001

For : *SEMICONDUCTOR DEVICE HAVING A GATE INSULATING FILM  
STRUCTURE INCLUDING AN INSULATING FILM CONTAINING METAL,  
SILICON AND OXYGEN AND MANUFACTURING METHOD THEREOF*

Examiner : Jennifer M. Dolan

Art Unit : 2813

745 Fifth Avenue  
New York, NY 10151  
Tel: 212-588-0800Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below.  
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

Claims as Amended

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional Fee
Total claims	7	Minus	** = 20	* 0 x	\$18 (9)	= 0
Independent claims	3	Minus	*** = 15	* 0 x	\$84 (42)	= 0
Total additional fee for this amendment						0

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.

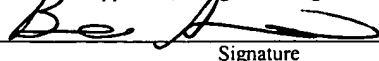
\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☐ This application contains a multiple dependent claim. The required fee of \$\_\_\_ has been previously paid ☐, or is paid herewith ☐.
- ☐ This response is being filed within the \_\_\_ month following the expiration of the term originally set therefor. This is a petition to request a \_\_\_ month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A check in the amount of \$\_\_\_ is attached, which covers the cost of ☐ additional claims \_\_\_ petition for extension of time.
- ☐ Charge \$\_\_\_ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
**Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 17, 2004.**

Bruno Polito, Reg. No. 38,580

Name of Applicant, Assignee or Registered Representative



Signature

February 17, 2004

Date of Signature

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for ApplicantsBy: Bruno Polito  
Reg. No. 38,580  
Tel: 212-588-0800

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